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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Daniel Yap, et al.

) Re: Information Disclosure

) Statement

Serial No.: 10/786,721

) Group: 1076

)

Filed: February 24, 2004

) Examiner: not yet assigned

)

) Our Ref: B-4664NP 621523-9

)

For: "MULTIPLE WAVELENGTH PHOTONIC  
OSCILLATOR"

) Date: October 25, 2006

Commissioner for Patents

P.O. Box 1450

Alexandria VA, 22313-1450

Sir:

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. A copy of each non-patent document listed on the enclosed Form PTO-1449 (modified) are enclosed. Copies of the U.S. patent documents listed on the enclosed Form PTO-1449 (modified) are not enclosed in accordance with 37 C.F.R. § 1.98(a) (2) (ii). The documents were cited by Examiners in related U.S. Patent Appln. Nos. 10/766,721 and 10/116,829.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, please contact the undersigned to authorize a payment of \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c), if the Examiner believes that such a fee is due in order for this IDS to be

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considered on the merits.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450", on October 25, 2006 by Guillermo Gonzalez.

Guillermo Gonzalez

Respectfully submitted,



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Enclosures: Form PTO-1449 (modified) (1 page)

Copies of Non-Patent documents listed on Form PTO-1449 (modified)

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LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Daniel Yap, et al.	
	FILING DATE February 24, 2004	GROUP 1076

**U.S. PATENT DOCUMENTS**

	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB-CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	7,085,499 B2	8/2006	Yap, et al.	398	123	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Bilodeau, F., et al., "An All-Fiber Dense-Wavelength-Division Multiplexer/Demultiplexer Using Photoimprinted Bragg Gratings," <i>IEEE Photonics Technology Letters</i> , Vol. 7, No.4, pp.388-390 (April 1995)	
Madsen, C.K., et al., "Planar Waveguide Optical Spectrum Analyzer Using a UV-Induced Grating," <i>IEEE Journal of Selected Topics in Quantum Electronics</i> , Vol.4, No.6, pp.925-929 (Nov./Dec. 1998)	
Tishinin, D.V., et al., "Vertical Resonant Couplers with Precise Coupling Efficiency Control Fabricated by Wafer Bonding," <i>IEEE Photonics Technology Letters</i> , Vol. 11, No. 8, pp. 1003-1005 (August 1999)	
Selected Definitions from Wikipedia at <a href="http://en.wikipedia.org">http://en.wikipedia.org</a> accessed 7/27/2006	

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.